



ATTORNEY DOCKET NO.: 5547

PATENT APPLICATION

3624
#IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark ROSENBERG

Confirmation No.: 5201

Application No.: 09/592,845

Examiner: Subramanian, N.

Filing Date: June 13, 2000

Group Art Unit: 3624

Title: INVESTMENT STRUCTURE AND METHOD HAVING FIXED AND CONTINGENT
COMPONENTSCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- ☒ Response/Amendment ☒ Petition to extend time to respond
☒ New fee as calculated below ☐ Supplemental Declaration
☐ No additional fee (Address correspondence to "Box Non-Fee Amendments")
☒ Other: Correspondence address change (fee \$ _____)

CLAIMS AS AMENDED BY OTHER THAN A LARGE ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	84	MINUS	84	= 0	X \$9	\$ 0
INDEP. CLAIMS	14	MINUS	14	= 0	x \$43	\$ 0
[]	FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$145	\$ 0
EXTENSION FEE	1ST MONTH \$55.00 <input type="checkbox"/>	2ND MONTH \$210.00 <input type="checkbox"/>	3RD MONTH \$475.00 <input type="checkbox"/>	4TH MONTH \$740.00 <input checked="" type="checkbox"/>		\$ 740
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 740

Please charge **\$740.00** to **Deposit Account No. 50-2849** to cover the above fees. In the event any difference exists between the amount authorized to be charged and the actual charges, please charge or credit any such difference to **Deposit Account No. 50-2849**.

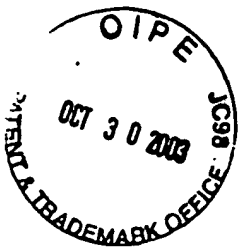
Respectfully submitted,

ANDREWS KURTH LLP
Intellectual Property Department
1701 Pennsylvania Avenue, N.W.
Suite 300
Washington, D.C. 20006
Telephone No.: (202) 662-2700
Facsimile No.: (202) 662-2739RECEIVED
NOV 06 2003

GROUP 3600

Sean S. Wooden
Attorney/Agent for Applicant(s)
Reg. No. 43,997

Date: October 30, 2003



#55a
11/17/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/592,845
Applicant : Mark ROSENBERG
Filed : June 13, 2000
Title : INVESTMENT STRUCTURE AND METHOD HAVING FIXED
AND CONTINGENT COMPONENTS
TC/A.U. : 3624
Examiner : Subramanian, Narayanswamy
Docket No. : 5547
Customer No. : 038598

Mail Stop Fee Amendment
Commissioner of Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

RESTRICTION REQUIREMENT RESPONSE

Sir:

In response to the Office Action mailed June 6, 2003 and subsequent telephonic interviews between the Examiner and Applicant's representative, the Applicant responds as follows:

Listing of the Claims which begins on page 2 of this paper.

Remarks/Arguments begin on page of this paper.

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GROUP 3600